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PATENT APPLICATION
10/716,305

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of:

Alastair J.D. Beadle, et al.

Serial No.:

10/716,305

Filing Date:

November 18, 2003

Examiner:

Unknown

Art Unit:

3621

Title:

*SYSTEM AND METHOD FOR MANAGING
RELATIONSHIPS BETWEEN BROKERS AND
TRADERS USING A MESSAGING FORMAT*

Mail Stop Amendment

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Information Disclosure Statement (2 pages), Form PTO-1449 (1 page), with 2 attached references, Baker Botts return postcard (1 postcard), and this Certificate of Mailing (1 page) are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 7th day of February 2006 and is addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Willie Jiles

Willie Jiles

Express Mail Receipt
No. EV 732500418 US



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INFORMATION DISCLOSURE STATEMENT

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. The present application was filed after June 30, 2003. Therefore, under the July 11, 2003 waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office, copies of the U.S. Patent Application Publication listed in the attached PTO-1449 form are not enclosed. Copies of all other references are enclosed for the convenience of the Examiner. No representation is made that a search has been made, that these references are material to patentability, or that these references qualify as prior art. The items contained in this Information Disclosure Statement were cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.

REMARKS

Pursuant to 37 C.F.R. § 1.97(b), Applicants believe this Information Disclosure Statement has been filed before the **issuance/mailling** date of the first Office Action. Therefore, Applicants believe no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

Baker Botts L.L.P.
Attorneys for Applicants



Samir A. Bhavsar
Reg. No. 41,617

Date: February 7, 2006

Correspondence Address:

Customer No. **05073**

PTO-1449

Application No.
10/716,305Applicant(s)
Alastair J.D. Beadle, et al.Information Disclosure Citation
in an ApplicationDocket Number
069547.0182Group Art Unit
3621Filing Date
November 18, 2003

U.S. PATENT DOCUMENTS

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
A	062/0133448 A1	09/19/2002	McGarry, et al.	705	37	01/17/2001
B						
C						
D						
E						
F						
G						
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I						
J						
K						
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M						
N						
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FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
T							
U							
V							
W							
X							

NON-PATENT DOCUMENTS

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	Notification of Transmittal of The International Search Report, International Application No. PCT/US04/36125, 4 pages.	01/18/2006
	Written Opinion of the International Searching Authority, International Application No. PCT/US04/36125, 4 pages.	01/18/2006
EXAMINER		DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.